

In re Patent Application of:

GUSTAFSSON et al

Serial No. 09/844,421

Filed: April 30, 2001

For: NEW AMINO ACID DERIVATIVES AND THEIR USE AS THROMBIN INHIBITORS

Attention: Application Branch

Atty. Dkt. 3764-96

Date: August 20, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- ☐ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.

☐ Record the attached assignment and return to the undersigned.

☐ Attached is a Power of Attorney.

☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number	Country	Day/Month/Year Filed
9602263-7	Sweden	7 June 1996
PCT/SE97/00989		5 June 1997

respectively.

☐ Certified copy(ies) of foreign application(s) is/are attached.

☐ Certified copy(ies) filed on \_\_\_\_\_ in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.

☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.

☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.

☒ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid  
 Sequence Submission; ☒ Other: **PRELIMINARY AMENDMENT**

**Fees due are calculated below:**

Basic filing fee		\$	710.00
Total Effective claims	25 - 20 = 5	x \$	18.00
Independent claims	1 - 3 = 0	x \$	80.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)		\$	0.00
	<b>FILING FEE</b>	\$	800.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)		\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted		\$	130.00
	<b>FIRST SUBTOTAL</b>	\$	930.00
If "small entity," enter half (1/2) of subtotal and subtract		-\$	0.00
	<b>SECOND SUBTOTAL</b>	\$	930.00
Assignment Recording Fee (\$40.00)		\$	0.00
	<b>TOTAL FEE DUE</b>	\$	930.00
	Check enclosed (Pre-Bill)*	\$	710.00
	Check enclosed (non Pre-Bill)*	\$	220.00
	<b>TOTAL FEE ENCLOSED</b>	\$	930.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
 Arlington, Virginia 22201-4714  
 Telephone: (703) 816-4000  
 Facsimile: (703) 816-4100  
 LCM:lks

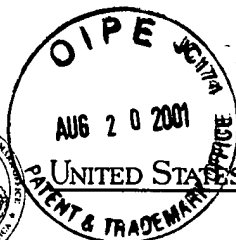
**NIXON & VANDERHYTE P.C.**

By Atty: Leonard C. Mitchard, Reg. No. 29,009

Signature: \_\_\_\_\_

\*For N&V internal accounting purposes

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,427	04/30/2001	David Gustafsson	3764-96

CONFIRMATION NO. 9839

## FORMALITIES LETTER



\*OC000000006194795\*

Nixon & Vanderhye P.C.  
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1100 N. Glebe Rd.  
Arlington, VA 22201

Date Mailed: 06/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$558.
  - \$288 for 16 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1398.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/22/2001 MBELETE1 00000056 09844427

01 FC:101	710.00 OP
02 FC:103	90.00 OP
03 FC:105	130.00 OP